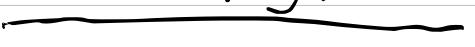


## Outline of talk:

- 1) Introduction to higher dimensional dynamics in geometry.
- 2) Present a systemic approach to studying dynamics using the minimal model program
- 3) Give examples of the idea's in 2) being used in practice.

over  $\mathbb{C}$   
Let  $\underline{X}$  be a normal projective

Variety with "mild" singularities." Mild means  
 you can  
run some MMP.

Our ultimate goal: Let  $f: \underline{X} \dashrightarrow \underline{X}$  be a  
dominant rational map. We wish to  
study the behavior of  $f, fof, fofof, \dots$  etc.

Write  $f^n = \underbrace{f \circ f \circ \dots \circ f}_{n\text{-times}}$   $f, f^2, f^3, f^4, \dots$

In order to study  $f$  and its iterates we would like some numerical invariants associated to  $f, f^2, \dots$  that measure its complexity.

ex: Consider  $f: \mathbb{P}^2 \rightarrow \mathbb{P}^2$  given by

$$(x,y) \mapsto \begin{pmatrix} x^2 \\ -y \\ 1 \end{pmatrix} \text{ on } \mathbb{A}^2.$$

How can we measure the complexity of  $f, f^2, \dots$ ?

Basically can only look at  $\deg(f)$   
= max degree of the components = 3.

We want to study  $f$  and all iterates.

$$f^2 = \underbrace{f((xy^2, y))}_{=} = ((xy^3)y^2, y) = \underbrace{(xy^4, y)}_{=}$$

So  $\deg f^2 = 5$ .  $\deg(f^2) \neq [\deg(f)]^2$ ,

$$f^3 = \underbrace{((xy^4)y^2, y)}_{=} = \underbrace{(xy^6, y)}_{=}, \quad \underbrace{\deg f^3 = 7}_{=}$$

Define  $\lambda_1(f) = \lim_{n \rightarrow \infty} \deg(f^n)^{1/n}$  ← The first  
dynamical degree.  
Limit exists ↗

$$\sqrt[n]{[2(n-1)+3]} \xrightarrow{n \rightarrow 1}$$

In general  $\deg(f^n) = 2(n-1)+3$  so

$$\lambda_1(f) = 1.$$

↓ integer

More generally if A is a KxK matrix  
with rows  $A_1, \dots, A_K$  we have

$$f_A(x_1, \dots, x_K) = (\underline{x^{A_1}, \dots, x^{A_K}}), \quad f_A: \mathbb{P}^K \dashrightarrow \mathbb{P}^K \quad \text{and}$$

$\lambda_1(f) = \text{spectral radius of } A.$

Thm

Our example was  $A = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$  ↗ eigenvalues 1  
↓  $\mathbb{R}$

Studying the degree sequence of an arbitrary dominant rational map can be complicated.

Thm: (Bell, Diller, Jonsson, Krieger) ✓

a)  $\forall k \geq 3$  there is a matrix  $A \in SL_k(\mathbb{Z})$  and a birational involution  $g_k: \underbrace{\mathbb{P}^k \dashrightarrow \mathbb{P}^k}$

S.t.  $\lambda_1(\underline{g_k \circ f_A})$  is transcendental.

b) (Bell-Diller-Faruc) There is a dominant rational map  $f: \underline{\mathbb{P}^2 \dashrightarrow \mathbb{P}^2}$  with  $\lambda_1(f)$  transcendental.

To simplify the problem we will stick  
to morphisms  $f: X \rightarrow X$ .

ex:  $X = \mathbb{P}^k$ ,  $f: \underline{\mathbb{P}^k} \rightarrow \mathbb{P}^k$ , then  $f$  is  
defined by  $k+1$  homogeneous polynomials of  
degree  $d$  that do not have a  
common vanishing locus. In this case

$$\underline{\lambda_1(f)} = d.$$

Dynamical degrees:

Let  $X$  be a normal projective variety, and  $f: X \rightarrow X$  a surjective morphism.

Choose an ample divisor  $H$  on  $X$ .

and define  $\lambda_p(f) = \lim_{n \rightarrow \infty} \underbrace{\left( (f^n)^* H^p \cdot H^{\dim X - p} \right)^{1/n}}_{0 \leq p \leq \dim X}$  ↑ The  $p^{\text{th}}$  dynamical degree.

So on  $\mathbb{P}^K$   $f^* H = dH$ , when  $f$  is defined by degree  $d$  polynomials.

$$\text{So } (f^n)^* H \cdot H^{K-1} = \underline{d^n} \leftarrow \text{giving } \lambda_1(f) = d.$$

SL

Dinh-Sibony.

When  $X$  is a compact-Kahler manifold and  $f: X \dashrightarrow X$  a dominant rational map you can define  $\lambda_p(f)$  in a similar way. We also have

$$\lambda_p(f) = \lim_{n \rightarrow \infty} r(f^n)^{1/n}$$

where  $r_p(f^n)$  is the spectral radius of  
 $f^n: H^{p,p}(X) \rightarrow H^{p,p}(X)$

When  $f$  is not a morphism  $(f^n)^* \neq (f^*)^n$  on  $H^{p,p}(X)$  but if  $f$  is a morphism  $\lambda_p(f) = \text{spectral radius of } f^* \text{ acting on } H^{p,p}(X)$ .

The sequence of dynamical degrees

determines many dynamical properties of

$f$ , and its study goes back to Gromov

in the 70's. Much of the more recent

work has been done by

Dinh, Sibony, Truong, Zhang and many others. Sz

Problem (Already noted by Gromov)

Which projective var. actually admit surjective

endomorphisms  $f: X \rightarrow X$  with  $\lambda_1(f) > 1$ .

(not automorphisms)

1)  $\mathbb{P}^n$

2) Abelian varieties

3) Toric Varieties

4) Products of such varieties

Toric morphisms extending  
 $(x_0, \dots, x_n) \mapsto (x_0^d, x_1^d, \dots, x_n^d)$

Classification for surfaces: (Nakayams)  
X-smooth surface over  $\mathbb{C}$ . Let  $f: X \rightarrow C$   
be surjective of degree  $\geq 1$ .

A)  $K(X) = -\infty$  then  $X$  is toric or a ruled surface  
over a curve,  $C$ . If  $g(C) \geq 2$  then the bundle  
splits after finite etale base change.

B)  $K(X) = 0$ , then  $f$  is unramified and  $X$   
is an abelian surface or a hyperelliptic surface.

C)  $K(X) = 1$ ,  $X$  hyperelliptic with  
 $\chi(\mathcal{O}_X) = 0$ .

D)  $K(X) = 2$  - none exist.

Upshot: Only in a few cases do we have explicit control over the behavior of morphisms.

A)  $\mathbb{P}^n$

B) Abelian varieties

C) Toric Varieties?? ← I have some up coming work here.



Here we can control the toric morphisms.

D) Polarized endomorphisms.

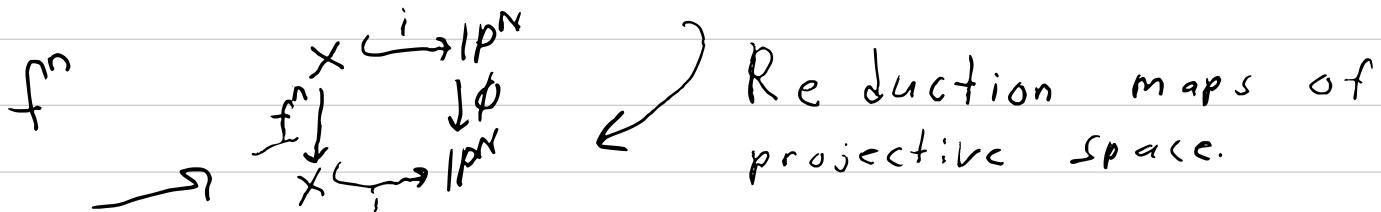
We have canonical height functions for  
means of  $\mathbb{P}^n$ .

This means  $f^*H \equiv_{\text{lin}} dH$  for some integer  $d \geq 1$ .  
H-amicable

Fahruddin/Mumford

If  $f: X \rightarrow X$  is a surjective morphism and  $f^*H \equiv_{\text{lin}} dH$  with  $d \geq 1$

then there is an embedding  $i: X \hookrightarrow \mathbb{P}^N$   
and  $\phi: \mathbb{P}^N \rightarrow \mathbb{P}^N$  such that



Moral: Except in some special cases  
we do not have an explicit description  
of surjective maps.

To get around this we can use the  
fact that  $f^*: N^1(X) \rightarrow N^1(X)$  preserves

1) The nef cone.

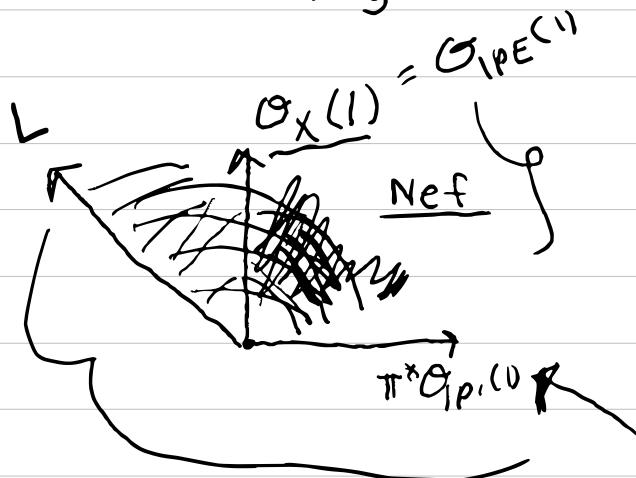
$f^*$  some linear  
automorphism.

2) The pseudo-effective cone.

$$\text{Ex: } X = \mathbb{P}(\mathcal{O} \oplus \mathcal{O}(r)) \xrightarrow{\pi} \mathbb{P}^1, \quad r \geq 1.$$

Suppose we have a diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & X \\ \pi \downarrow & & \downarrow \pi \\ \mathbb{P}^1 & \xrightarrow{g} & \mathbb{P}^1 \end{array} \quad \text{with } f, g \text{ surjective.}$$

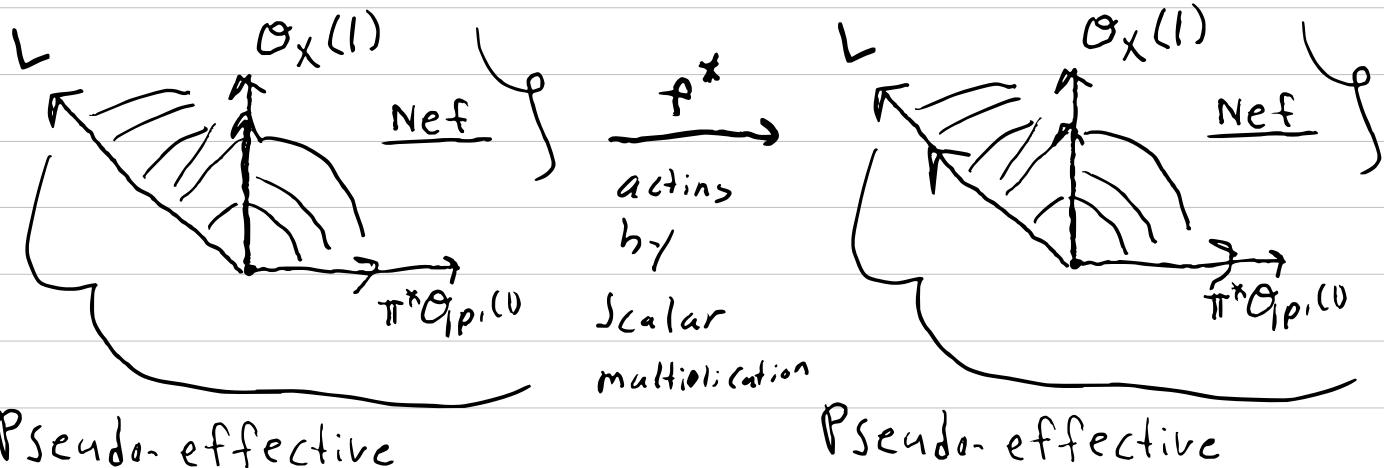


$\underline{\mathcal{O}_X(1)}$  is nef and big but not ample.

$$\mathcal{O}_X(1)^2 \neq 0$$

Pseudo-effective

$$M \mapsto dM \leftarrow d = \text{des } \sigma: \mathbb{P}^1 \rightarrow \mathbb{P}^1$$



Pseudo-effective

Pseudo-effective

$f^* M$  is ample  $\Leftrightarrow M$  is ample

big  $\Leftrightarrow M$  is big

nef  $\Leftrightarrow M$  is nef

Pseudo-eff  $\Leftrightarrow M$  is pseudo-eff

$\Rightarrow O_X(1), \pi^* O_{P^1}(1), L$  all eigenvalues

$\Rightarrow f^* M = dM \wedge M \in \text{Pic}(X)$ .

Up-shot: All all eigenvalues have  
the same modulus.

Using some higher power results in  
Convex geometry geometry we can play  
Similar games in higher Picard number.

Question: Let  $X$  be a smooth projective  
toric variety. Suppose that every extremal  
ray of  $\text{Nef}(X)$  lies on the boundary of  
 $\text{PE}(X)$ . Is  $X = X_1 \times X_2$  where  $X_1, X_2$  are  
smooth toric varieties?

## The MMP: Finally

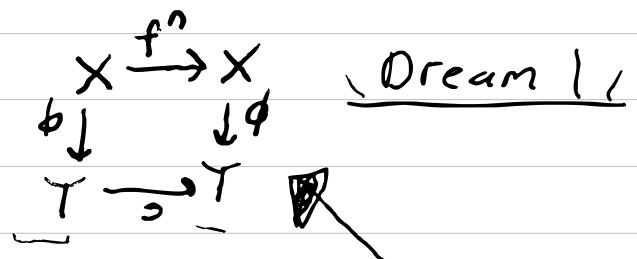
If we start with  $f: X \rightarrow X$   
a surjective morphism, perhaps we cannot  
understand  $f$  or the action of  $f^*$   
on  $Nef(X)$  or  $PE(X)$  due to some  
complicated geometry of  $X$ , we would  
like to simplify  $Nef(X), PE(X)$ .

Ideal situation:  $X$ -normal projective variety with terminal singularities,  $f: X \rightarrow X$  and  $\phi: X \rightarrow Y$  an extremal contraction.

I) If  $\phi$  is divisorial, we obtain  $g: Y \dashrightarrow Y$  a dominant rational map.

Try to Extend this to a morphism  $g: Y \rightarrow Y_1$ , after iterating  $f$ .

So we get



$g^*: N^1(Y) \rightarrow N^1(Y_1)$  ↪ Hopefully easier.

2) If  $\phi: X \rightarrow Y$  is small, then

we have  $\phi^t: X \dashrightarrow X^t$  so we have

$$\begin{array}{ccc} & \phi^t & \\ b \swarrow & \downarrow & \searrow \phi^t \\ & Y & \end{array}$$

$f^t: X^t \dashrightarrow X^t$  a dominant rational

map. Try to extend this to

$f^t: X^t \rightarrow X^t$  a morphism after

iterating  $f$ . Dream 2)

3) If  $\phi$  is a Mori-fiber space.

Thm: (Satriano/Lesieutre)

There is some  $n \geq 1$  and  $g: Y \rightarrow Y$   
such that

$$\begin{array}{ccc} X & \xrightarrow{p^n} & X \\ \downarrow \phi & & \downarrow \phi \\ Y & \xrightarrow{g} & Y \end{array}$$

commutes.

No need to try

For 1) and 2) we cannot always

prove that we can extend  $f$

to  $y \xrightarrow{\cong} y$  or  $f^t: X^t \rightarrow X^t$ .

To help with this

Di-Qi Zhang introduced int-amplified endomorphisms.

Definition: Let  $X$  be a normal projective variety and let  $f: X \rightarrow Y$  be a surjective morphism.

We say  $f$  is int-amplified if

$f^*H - H$  is ample for some

ample divisor  $H$  on  $X$ .

$\Leftrightarrow f^*: N^1(X) \rightarrow N^1(Y)$  has eigenvalues  
of modulus  $> 1$ .

examples: Any polarized morphism.

That is  $f^*H = dH$ ,  $d > 1$   $H$  ample.

A) So any morphism  $f: \mathbb{P}^n \rightarrow \mathbb{P}^n$  that is not an automorphism.

B) Multiplication by  $\begin{bmatrix} n \\ 0 \end{bmatrix}$  on an abelian Variety.  $n \geq 2$

$$\downarrow "(\chi_1, \dots, \chi_n) \mapsto (\chi_1^n, \dots, \chi_n^n)"$$

C) Let  $X_\Sigma$  be toric. Let  $f$  be the equivariant morphism  $X_\Sigma \rightarrow X_\Sigma$  induced by multiplication by  $n$  on  $N \rightarrow N$ ,  $\Sigma \subseteq N_{\mathbb{R}}$ .

Non-examples. Let  $C$  be an elliptic curve and  $F$  the unique rank  $n$  degree 0 vector bundle with a non-zero global section.

Thm: (N-Zafar 2023)  $\mathrm{IPE} \rightarrow C$  have no int-amplified endomorphisms.

Thm (Zhang-Meng)

If  $X$  is normal with terminal and  $X$  admits a singular int-amplified morphism  $I: X \rightarrow X$ . If  $f: X \rightarrow X$  is any other surjective morphism (it may not be int-amplified)

∴ If  $\phi: X \rightarrow Y$  is an extremal contraction, then Dream 1) and Dream 2)

Come true.

In other words, if  $X$  has  
one int-amplified morphism then  
we can use the MMP to study  
all other surjective morphisms.

Idea:  $\text{Sur}(X) = \text{monoid of surjective}$   
 $\text{morphisms. Have } \text{Int-amp}(X) \subseteq \text{Sur}(X)$   
 $\text{sub-monoid of all int-amplified morphisms.}$

If  $\text{int-amp}(X) \neq \emptyset$  then it imposes structure  
on all of  $\text{Sur}(X)$ .

Using this we have

Thm: (N) If  $X$  is  $\mathbb{Q}$ -factorial and rationally connected, and  $X$  admits  $I: X \rightarrow X$  int-amplified.

Then any surjective endomorphism

$f: X \rightarrow X$  has a Zariski dense

Set of pre-periodic points.

(Fahkruddin proved this if  $f$  is int-amplified itself)

Start with  $X$ , terminal singularities  
and  $I: X \rightarrow X$  int-amplified, rationally connected.

Start with  $f: X \rightarrow X$  surjective  
map.

1) If  $X$  has a divisorial contraction  
we can find  $n \geq 1$  s.t. we have a  
diagram

$$\begin{array}{ccc} & \leftarrow & \\ X & \xrightarrow{f^n} & X \\ \phi \downarrow & & \downarrow \phi \\ Y & \xrightarrow{\quad} & Y \end{array}$$

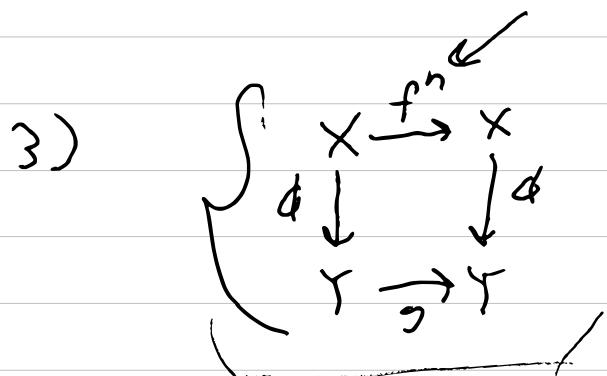
| A)  $f$  has a  
dense set of  
pre-periodic points  
 $\Leftrightarrow f^n$  does.

| B)  $f^n$  has a  
dense set of p.p.  $\Leftrightarrow$   
 $f$  does.

2) Similar story for flips.

$$f^n : X \rightarrow X \text{ extends } (f^+)^n : X^+ \rightarrow X^+$$

$f^n$  has a dense set of pre-periodic points  $\Leftrightarrow (f^+)^n$  does.



$f^n$  sends fibers to fibers and we can check that on a general fiber  $f^n$  has many pre-periodic points.

$\Rightarrow$  conclude  $f^n$  has dense P.P.  $\Leftrightarrow$  S does.